

**Integrity Code of Conduct - New Appendix to the Rules of Racing**

**(To replace existing Codes laid down in Appendix N, T and U of the Rules of Racing)**

The purpose of this revised Code of Conduct is to provide guidance about the general standards to be observed by all Licensed, Permitted and Registered Persons. Attached as an Annex to this Code of Conduct is a Guide on what constitutes acceptable and unacceptable uses of Inside Information. Copies of the Code and Guide can be found at [www.britishhorseracing.com](http://www.britishhorseracing.com). Neither the Code nor the Guide should be treated as substitutes for, or interpretative guides to, the Rules of Racing which participants are deemed to know, and which will prevail.

However, participants should be aware that failure to observe the Code may result in an appearance before the Licensing Committee or before a Disciplinary Panel.

Should there be any doubts about what is acceptable and unacceptable behaviour, participants are advised to contact the HRA for clarification.

**Part 1: General Standards to be observed by all Licensed, Permitted and Registered Persons**

- Avoid having any contact in connection with horseracing with any disqualified or excluded person.
- Avoid the company of persons whose conduct, character or reputation indicate that they may pose a threat to the integrity of horseracing.
- Be aware that you have information about horses that others want, for betting purposes.
- Make sure you have read and understood the definition of Inside Information in the Rules of Racing.
- Understand that information you have about a horse's chances in a race is likely to be Inside Information unless it is known or available to the general public or is in one of the specific categories in Part 6 of this Code.
- Refrain from passing Inside Information for reward to anyone, except in cases specifically allowed for in the Rules of Racing or the Guide.
- Refrain from regularly passing Inside Information to anyone other than the connections of the horse, even where there is no reward except in cases specifically allowed for in the Rules of Racing or the Guide.
- Co-operate with investigations by the HRA by providing full and accurate responses to requests and questions.

- Report to the HRA any instances of actual or attempted malpractice which may compromise the integrity of horseracing.

## **Part 2: Specific Standards to be observed by Jockeys, Master Jockeys' Valets and Master Valets' Assistants.**

- Do not bet on horseracing or lay any horse to lose a race.
- Avoid associating or communicating directly or indirectly with Betting Organisations or with any person representing a Betting Organisation on or from a racecourse.
- Avoid accepting from any Betting Organisation or any person representing a Betting Organisation any reward, gift, favour or benefit in kind in connection with a race.
- Avoid engaging in any activity, whether or not for reward, gift, favour or benefit in kind, the purpose of which is to advertise or promote a Betting Organisation or service.
- Avoid discussing the chances of a horse they are engaged to ride with anyone (including other jockeys).
- Refrain from leaving and returning to racecourse property without the permission of a Weighing Room Security Officer.
- Adhere to the Rules and Instruction relating to the use of mobile telephones.
- Ensure that all mobile telephones regularly used are registered.
- Do not enter the betting ring during a race meeting except without good reason.
- Ensure that the use of pass cards and any metal badges within a licensed racecourse comply with the user conditions laid down by the Racecourse Association.

## **Part 3: Specific Standards to be observed by Trainers**

- Ensure that relationships with Betting Organisations or any person representing a Betting Organisation do not confer special privileges or concessions which may invite adverse inferences to be drawn.
- Do not lay any horse under their care or control to lose a race.
- Ensure the Racing Calendar Office is informed as soon as practicable when, for any reason, a horse cannot participate in a race (eg, due to injury or death), and avoid telling anyone other than the Owner or the Owner's representative until the Racing Calendar Office has published that information.

- Report to the HRA any suspicious or unusual financial transactions eg. cash payments in excess of a total of £10,000 for a transaction connected with the business of training racehorses.
- Ensure that the use of pass cards and any metal badges within a licensed racecourse comply with the user conditions laid down by the Racecourse Association.

#### **Part 4: Specific Standards to be observed by Stable Employees**

- Refrain from laying any horse under the care or control of a Trainer who employed the Stable Employee in the previous 21 days, to lose a race.
- Avoid discussing the chances of a horse in their employer's care or control with anyone except the Trainer, Owner or the Owner's Representative.
- Avoid discussing the non-participation in a race of a horse in their employer's care or control with anyone other than the Owner, the Owner's Representative or Trainer until such time as the non-participation has been distributed by the Racing Calendar Office.
- Avoid discussing the chances of any horse with any Betting Organisation or any person representing a Betting Organisation or tipping service.

#### **Part 5: Specific Standards to be observed by Owners**

- Refrain from laying any horse in their ownership to lose a race.
- Avoid imparting any information to anyone about their horse's non-participation in a race with a view to the horse being layed before it is taken out of the betting market.
- Refrain from laying any horse from a yard where they have a horse in training.
- Refrain from causing any licensed person, with whom he has dealings, to commit an offence in relation to the Rules of Racing.

#### **Part 6: Information that will be treated as Information in the Public Domain**

- Information provided during interviews and/or presentations conducted in the course of television or radio broadcasting;
- Information provided during interviews to the press or other legitimate news gathering organisations for the purposes of publications, eg. written articles, regular columns or websites.
- Information given to a specific group or groups eg, talks given to corporate sponsorship groups or in the context of corporate hospitality or stable yard public days.

- Information that is accessible to the public on Trainer's or Owner's telephone information line or website (whether for payment or not).