

Council Regulation (EC) No 1/2005 (Article 10 and 11)

For Official Use Only

Application for United Kingdom Animal Transporter Authorisation for Type 2 Authorisations (Valid for all journeys including over 8 hours)

These details are also available in Welsh

Please read each section carefully together with the separate guidance notes on transporter authorisations before completing this form

1. This application should be completed in BLOCK capitals using black ink
2. Your application will not be processed if sections marked with an asterisk (*) are not completed
3. In order for us to process this application you must operate an established business in GB.
4. Only one application to be made per business or company.
5. If you have any queries please contact the Animal Health Helpline on: 0845 603 8395

Please note we will not acknowledge receipt of this form

Part 1 – Applicant details

Question 1 – This section is mandatory

Please provide the name under which the Transporter Authorisation should be issued.

Name of Company/
Sole Trader *

Question 2 – This section is mandatory

Please provide your full correspondence address and contact details

Contact name *

Address *

Postcode

Telephone Number *

Fax number

Email Address

Question 3 – This section should be completed if applicable

Please provide the address and contact details of your main operating address, if different from your correspondence address.

Contact Name			
Main operating address (If different from question 2 above)			
	Postcode		
Telephone Number		Fax Number	
Email address			

Question 4 – This section is mandatory

Please specify which mode of transport (e.g. road, sea, air or rail) you wish to be authorised for.

Applicants can, if they wish, be authorised for more than one mode of transport.

This authorisation will be limited to those specified below

Please tick all boxes that apply

Mode of Transport * road sea air rail

Part 2**Question 5 – This section is mandatory**

Article 11 of Council Regulation (EC) No 1/2005 requires applicants to supply Competence and Vehicle Approval documents when applying for a long journey Transporter Authorisation.

Please complete Question 5(a) if you transport **Horses, Cattle, Sheep, Goats, Pigs and Poultry**.

Please complete Question 5(b) if you transport **Other** species on journeys **over 12 hours within the UK**

5(a) You must provide proof that:

- (i) All drivers and attendants responsible for transporting **horses, sheep, goats, cattle, pigs and poultry** on journeys **over 8 hours** have obtained a **long journey** Certificate of Competence specific to each species to be transported
- (ii) All road vehicles used to transport **horses, sheep, goats, cattle and pigs** on journeys **over 8 hours** have been inspected and approved for each species to be transported

Please complete the table below where applicable and provide copies of Competence and Vehicle Approval certificates.

Species to be transported (please tick)	Competence Certificates (please list names of individuals and certificate numbers)	Vehicle Approval (please list certificate numbers)	Official Use Only
Horses <input type="checkbox"/>			
Cattle <input type="checkbox"/>			
Sheep <input type="checkbox"/>			
Goats <input type="checkbox"/>			
Pigs <input type="checkbox"/>			
Poultry <input type="checkbox"/>		Leave Blank	

Total number of certificates enclosed for these categories: Competence Vehicle Approval

5(b) You must provide proof that all road vehicles used to transport **Other** species on journeys **over 12 hours within the UK** have been inspected and approved

If you transport **Other** species on journeys **over 12 hours within the UK**, please tick the 'Other' box below, complete the vehicle approval details and **provide copies of Vehicle Approval certificates**.

Species to be Transported (please tick)	Competence Certificates (please list names of individuals and certificate numbers)	Vehicle Approval (please list certificate numbers)	Official Use Only
Other <input type="checkbox"/>	Leave Blank		

Total number of certificates for these categories:

Offences and Convictions

Question 6 – This section is mandatory

In considering applications for Transporter Authorisations any record of a Home Office Simple Caution, Conviction or current ban/disqualification order under the following legislation will generally be taken as grounds for refusing an application, or making an Authorisation conditional:

- Articles 4 or 6 of the Welfare of Animals (transport) Order 1997;
- Articles 4 or 6(c) of the Welfare of Animals (transport) (England) Order 2006; Article 4 or 6(c) of the Welfare of Animals (Transport) (Wales) Order 2007; Article 4(c) of the Welfare of Animals (Transport) (Scotland) Regulations 2006;
- Articles 5, 6, 7 and 8 of the Welfare of Animals at Markets Order 1990;
- The Agricultural (Miscellaneous Provisions) Act 1968;
- The Protection of Animals Act 1911 (as amended); The Protection of Animals (Scotland) Act 1912;
- The Animal Welfare Act 2006; The Animal Health and Welfare (Scotland) Act 2006

Does anyone who will be involved in transporting animals under this Authorisation, in any capacity, have under the above legislation(s):

- A conviction or Home Office Simple Caution record of any animal welfare offence in the 3 years preceding the date of this application; or
- A current ban or disqualification order

Please indicate:

Yes

No

If 'YES' you must complete the box below (Please attach separate sheets if necessary):

Name	<input type="text"/>	Position	<input type="text"/>
Date(s) of infringements	<input type="text"/>		
Legislation breached	<input type="text"/>		
Penalty imposed, including any restriction/disqualification order with details of its start and end date:	<input type="text"/>		
Date of conviction/ date of issue of Home Office Simple Caution	<input type="text"/>		
Name of court (if there was a conviction)	<input type="text"/>		
Who took the enforcement action (e.g. police, local authority, RSPCA)	<input type="text"/>		

Note:

Evidence of action taken to avoid repetition of the offence(s) may be taken into account, as will the seriousness of the offence(s) and any infringement(s) that have not resulted in Convictions or Home Office Simple Cautions (such written and verbal warnings and any Statutory Notice served).

Part 3 – Declaration and Signature – This section is mandatory

You must declare that the conditions described have been, or will be, met by you and those covered by the Authorisation.

I declare that:

- A. I am/We are responsible for the validity of all the information that I/we have given on this form, including information about other people;
- B. As the person(s) completing this form I/we have gained consent of the individual(s) named on this form and they are aware of how their personal data will be processed and for what purpose(s);
- C. If I/we give information that is false or misleading and I/we do this knowingly or recklessly, then I/we understand that this application may be refused, or if granted, subsequently revoked and that I/we may be prosecuted;
- D. I/We will transport in accordance with the Council Regulation (EC) No. 1/2005 (the Regulation) on the protection of animals during transport once an Authorisation is issued;
- E. I/We understand that it is an offence to transport any animals which require an Animal Transporter Authorisation, under the Regulation before an Authorisation is issued unless otherwise advised by Animal Health;
- F. I/We will inform the office which issued the Authorisation of any changes in the details I/we have provided on this form within 15 days of those changes occurring and understand that if any information on my/our Authorisation Certificate changes my/our Authorisation Certificate will be revoked and a new one will need to be issued;
- G. I/We have sufficient and appropriate staff, equipment and operational procedures and that I/we will only entrust the animals transported in the course of my business to persons who have demonstrated their competence in accordance with the Regulation.
- H. I/We understand the need to be able to trace and record the movements of road vehicles and to be able to contact drivers at any time during over 8 hour journeys. To this end I/we declare (i) that all drivers can be contacted by mobile phone at any time; (ii) all my/our vehicle(s) are fitted with a properly functioning tachograph where appropriate; (iii) (for vehicles carrying livestock or unregistered horses export journeys or travelling over 12 hours) any vehicles coming into service from 1 January 2007 will be fitted with a navigation system; and (iv) all my/our vehicles will be fitted with a navigation system as from 1 January 2009;
- I. I/We understand that all my/our drivers and attendants must have effective contingency plans in case of disruptions to travel or illness and injury of any animal. To this end all drivers/ attendants are given instructions (i) on where animals should be taken in case journeys are interrupted or curtailed for any reason, including breakdown, crash road closures, cancellation of ferry crossing etc (ii) sufficient and appropriate feed and liquid is carried both for the intended journey and in case of delays; (iii) have instructions on where to seek veterinary care in case animals fall ill or are injured; and (iv) that drivers and attendants will make these contingency plans available to any Inspector of a Competent Authority on request;
- J. I/We will ensure a copy of my/our Authorisation is carried in the vehicle(s) at all times when I am/we are transporting animals and the Authorisation will be made available to any Enforcement Officer within the United Kingdom or European Union if asked to do so.

Signature of applicant *

Full name of applicant * Date

Your date of birth *

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Please indicate position in company *

Sole trader Partner Director Company Secretary

Other (please specify)

Data Protection Act

Defra, the Scottish Executive, the Welsh Assembly Government and the Food Standards Agency are data controllers in respect of personal data processed by Animal Health. For the purposes and usage of the data and the data sharing arrangements, please see full Data Protection Statement on the Animal Health website: <http://www.defra.gov.uk/animalhealth/about-us/accesstoinformation/fairprocessing.htm>. A hard copy of this can be provided if required; please contact your local Animal Health office. Animal Health will not permit any unwarranted breach of confidentiality or act in contravention of their obligations under the Data Protection Act 1998.

Part 3 – Declaration and Signature – Additional Notes

Declaration H – Tracking vehicles during journeys

- Applicants are required to give details of how they trace and record the movement of their vehicles and how they contact their drivers. The Regulation does not define this but as a minimum it is expected that the driver can be contacted at any time by mobile phone and, where transport Regulations require it, that there is a properly functioning tachograph. Applicants are required to confirm they meet these minimum requirements through declaration H (i) and (ii).
- Further requirements on tracking vehicles apply to transporters of unregistered horses and livestock travelling longer than 12 hours or outside the UK. Transporters are required to demonstrate that they use a satellite tracking system that records details of journeys as set out in the journey logs, plus the opening and closing of the loading flap. Applicants are advised that they should have a satellite tracking facility in new vehicles by January 2007 and in the rest of their vehicles from 1 January 2009. Applicants are asked to confirm they meet this requirement through declaration H (iii). Further guidance on this aspect is contained within Defra's general guidance on the Regulation.

Declaration I – Contingency Plans

- It is essential that transporters have contingency plans in case the animals fall ill or are injured, or in case of unforeseen delays, breakdowns or accidents. The Regulation requires transporters to document their contingency plans in case of emergencies. Applicants are required to meet certain minimum requirements i.e. drivers and attendants are given instructions (i) on where animals should be taken in case journeys are interrupted or curtailed for any reason, including breakdown, crash, road closures, cancellation of ferry crossing etc, (ii) sufficient and appropriate feed and liquid is carried both for the intended journey and in case of delays; (iii) have instructions on where to seek veterinary care in case animals fall ill or are injured; and (iv) that they will make these contingency plans available to any inspector of a Competent Authority on request. Applicants are asked to confirm they meet this requirement as stated in declaration I.

Now send this form to: Welfare in Transport Team,
Animal Health,
Specialist Service Centre - Exports,
Hadrian House,
Wavell Drive,
Rosehill Industrial Estate,
Carlisle
CA1 2TB
Email: wit@animalhealth.gsi.gov.uk

You should keep a copy of this document for your records, and present it to enforcement officers in the event that your application is yet to be processed.

Animal Health is an Executive Agency of the Department for Environment, Food and Rural Affairs and also works on behalf of the Scottish Executive, Welsh Assembly Government and Food Standards Agency.