



RULES

Name

- 1 The Association shall be called the National Trainers Federation.

Office

- 2 The registered office of the Federation shall be at 9 High Street, Lambourn, Hungerford, Berkshire, RG17 8XL, or such other office as the Council may from time to time designate.

Objects

- 3 (i) The objects of the Federation are to study, promote and act generally in furtherance of the interests of its members; to regulate relations between its members and their stable staff; to consider all questions affecting members and their rights and liabilities; to make representations and recommendations in relation to all matters affecting members and to this end to consult with any associations and bodies representative of other racing interests including in particular the National Joint Council for Stable Staff and any relevant trade union, the British Horseracing Authority, Messrs Weatherbys, and any other person or persons and bodies.

(ii) In pursuance and furtherance of its objects the Federation may carry on any trade or business which can in the opinion of the Council be advantageously carried on by the Federation in connection with or ancillary to the above objects and may provide any services or amenities which in the opinion of the Council are or seem to be necessary, appropriate, advantageous or otherwise desirable for the mutual benefit of the members of the Federation.

Membership

- 4 Membership shall be open to any person holding a current trainer's licence under the Rules of Racing. Any application for membership of the Federation shall be made in writing to the Chief Executive, who shall place such application before the Executive Committee at the next convenient meeting. If such applicant is not elected to the Federation by the Executive Committee his application shall be placed before the Council. If the Council do not elect him he may appeal to the Appeals Committee in the manner prescribed by these Rules. Membership of the Federation shall be terminated by:
 - (i) Death of the member.
 - (ii) Resignation. In such case any fees and dues shall be payable until and including the month in which such resignation is submitted.
 - (iii) Lapse. Membership shall be deemed to have lapsed when a member is more than six months in arrears with the payment of his subscription or any other dues levied under clause 19 of these Rules.
 - (iv) Expulsion for breach of the Rules.
 - (v) By such member, in the opinion of the Council, ceasing to train.

Governing body

- 5 (i) The governing body of the Federation shall consist of a Council of 18 members, and a Triumvirate including a President, elected in accordance with Rule 6 hereof. The President and two Council members will form a Triumvirate. There will be six regions with a Chairman and up to two deputy Chairmen each serving a three-year term of office to make up the Council. Any vacancy of a deputy Chairman in one region may be filled by election to the Council from another region. There will also be a number of Associate Council members. At Council meetings eight members, in addition to the President of the Federation or such deputy being a member of the Triumvirate as he may appoint, shall form a quorum.
- (ii) The Council shall appoint sub-Committees. Each sub-committee shall exercise such powers and duties as may from time to time be delegated to it by the Council.
- (iii) There shall be an Executive Committee, to be appointed by the Council in accordance with Rule 9(i) to exercise the powers and duties set out in these Rules and any other powers and duties which the Council may from time to time delegate, consisting of the Triumvirate and three other members of the Council. At its meetings two members, in addition to the President or such deputy being a member of the Triumvirate as he may appoint, shall form a quorum.
- (iv) The Council, the Executive Committee and any sub-committee shall meet in such manner and at such intervals as may be deemed necessary and each shall regulate its own proceedings. The six NTF regions should hold at least two meetings a year preceding the NTF Council meetings. The NTF executive shall service these meetings. The NTF regions shall regulate their own proceedings.
- (v) The Council shall determine the policy of the Federation and its decisions regarding the conduct of the Federation and its business shall be binding on the officers and members of the Federation and to this end it shall retain the power to reconsider and, if necessary, overrule or reverse any decision recommendation, representation, finding or act of any sub-committee, the Executive Committee, or any other committee or working party formed under these Rules.
- (vi) The Federation may in general meetings from time to time increase or reduce the number of members comprising the Council, Executive Committee, sub-committees or NTF regions.
- (vii) A member may resign from the Council by giving notice in writing to the Chief Executive. If a vacancy on the Council is not filled it shall remain vacant until another election can be held in accordance with these rules. Any vacancy occurring through the resignation or death of a member shall remain vacant in a similar manner.

Election of Officers

- 6 The election of the President and two deputies who have to be members of the Council and the Members of the Council shall be governed by the following Rule:
- (i) The President will be elected from the Triumvirate by the members of the Council by a majority once every year. The Triumvirate will recruit a third member from the Council each year to make up the Triumvirate. That member is elected by a majority of the Council.
 - (ii) Three members from each of the regions may be elected to the Council for a period of three years, for a maximum of three terms. If a member is elected to the Triumvirate and there is a vacancy then the regions will hold an election in accordance with these Rules.
 - (iii) Election of the President and the Triumvirate shall take place on a date to be selected by the Council and the result of the election shall be announced to the members at the next AGM. The President and the Triumvirate shall take office after the AGM. The President's term shall last one year; his term ceasing at the end of the AGM, unless the Council shall vote to extend his term for one year only. At the end of his term he shall be eligible for a seat on the Council if elected by a majority of members as an Associate member. Except at the discretion of the Council decided by a majority, Associate members may not remain on the Council for more than 3 years.
 - (iv) Nominations for the election of members of the Council, shall be made by a proposal in writing signed by two members of the Federation for that region accompanied by the candidates written consent and lodged with the Chief Executive not less than 21 days before the meeting at which their election is to be proposed provided that the requirement of this sub-clause may be waived by the Council if in its absolute discretion deem fit without having to give reasons therefore. The regions shall elect a Chairman by a majority.

Removal from Office

- 7
- (i) The President and his deputies may be removed from office only at an Extraordinary General Meeting of the Council on a motion proposed and seconded at the meeting by any two members and on such motion being carried by a majority of two thirds of the members present at that meeting. In the event of any such person being so removed from office a temporary replacement shall be appointed by a majority of votes of members present at the meeting and such replacement shall remain in office as acting President until the appointment of a new President being made in accordance with these rules.
 - (ii) The members of the Council may be removed from office only at an Extraordinary General Meeting of their respective region on a motion proposed and seconded at the meeting by any two members of that region and on such motion being carried by a majority of two thirds of the members present at that meeting. In the event of any such person being so removed from office a temporary replacement shall be appointed by a majority of votes of members present at the meeting and such replacement shall remain in office as acting

member of Council until the appointment of a new member being made in accordance with these Rules.

Employment

- 8 (i) The Executive Committee shall subject to the approval of the Council appoint (and dismiss) on such terms and conditions as it may determine a Chief Executive to the Federation who shall have such powers and carry out such duties as the Executive Committee may from time to time decide.
- (ii) The Executive Committee may subject to the approval of the Council employ (and dismiss) any person on behalf of the Federation. In particular they may appoint officers to act on behalf of the Federation.

Power to appoint sub-committees, and co-opt

- 9 (i) The Council shall have power to appoint sub-committees or working parties to consider and advise it on any special matters.
- (ii) The Executive Committee shall have power to co-opt any member of the Federation to such committee. Any member so appointed may serve on the appropriate committee and vote but not attend or vote at Council meetings. The power to co-opt contained in this Rule may be exercised at any time provided that the Executive Committee may co-opt only one member at a time. The sub-committees may co-opt up to six members who may serve as a member of that sub-committee for a period of up to three years.

Trustees

- 10 (i) The Trustees of the Federation shall be the President and Chairman and Vice Chairman for the time being of the Executive Committee. They shall subject to Rule 11 hereof hold and deal with all funds, investments and other property of the Federation in such manner as they deem fit but shall be subject at all times to any directions received from the Council. All funds and securities and other deeds, papers or things of value not required for use or reference held by them on behalf of the Federation shall be deposited at such bank or banks as they may decide. They shall open and keep a current and, if required, a deposit account at the appointed bank or banks and any two of the three Trustees or any one Trustee and the Chief Executive shall sign cheques for the payment of all expenditure lawfully authorised by them or the Council.
- (ii) Any minute of a general meeting, whether Annual or Extraordinary, or of a sub-committee meeting recording the appointment or removal of a Trustee (by virtue of his election to President or Chairman) shall be a sufficient instrument in writing in accordance with Section 4 of the Trade Union and Labour Relations Act 1974.

Powers of Trustees

- 11 (i) Any property or funds of the Federation may be applied or invested for the purpose or appreciation in value or to earn income or for any other purpose, in the purchase of land or securities or chattel or any other item which, in the opinion of the Trustees but subject to any directions received from the Council, is desirable and in the interest of the Federation. This shall include the advancement of loans. The Trustees may collect funds or receive any other property for the furtherance of its objects and shall be empowered to acquire and

hold in the name of the Federation any funds or any property, real or personal, for such purpose. They may borrow or raise monies for the furtherance of the objects of the Federation in such manner as they think fit and may charge all or any part of any property of the Federation with the repayment of such debt together with interest and upon such terms and conditions as they may deem necessary.

- (ii) The Trustees shall appoint an auditor to the Federation who shall prepare accounts made up and balanced as at 31 December each year. Such auditor shall not be a member of the Federation and shall have the qualifications required by the Trade Union and Labour Relations Act 1974 (and set out in Section 6 of Schedule 2, Part 1 thereof). Once appointed he shall not be removed from office except by resolution of a majority of members present at an Annual or Extraordinary General Meeting.

Postal Votes

- 12 (i) Upon receipt of a requisition to that effect from not less than ten Members the Council shall instruct the Chief Executive to arrange a postal vote of all members of the Federation for the purposes only of electing members of the Council under Rule 6 of these Rules in which event:
 - (a) The Chief Executive shall prepare and circulate by ordinary post to all members at their address as shown in the Register of members a letter or card showing the purpose of the vote and in the case of elections of officers under Clause 6 hereof a list of the nominations received; such letter or card to be despatched at least 14 days prior to the meeting at which such votes are to be counted.
 - (b) The Council may if it wishes require the Chief Executive to enclose with the letter or card referred to in sub-clause (a) hereof its recommendations as to the way in which the members should vote.
 - (c) The Chief Executive shall provide a card or form on which members may signify their votes by placing a mark in a manner therein provided in an appropriate place.
 - (d) Each member, if he desires to register a postal vote, shall place his mark on the card or form provided in the manner therein provided and return the same by post at his own cost to the Chief Executive or to such other person or body as may be nominated by the Council.
 - (e) All votes so made received by the Chief Executive or such other person or body as may be nominated by the Council before the hours appointed for the meeting shall be counted and taken account of.
 - (f) If the Chief Executive or such other person or body as may have been nominated by the Council is in any doubt as to the wishes of a postal vote because the card is in some way spoiled he or they may in his or their absolute discretion discount it.
- (ii) Failure to comply with any of the provisions of sub-clause (i) of this Rule shall not of itself invalidate the proceedings at that meeting or the election of the members to the Council or the making of any changes in the Rules unless the Council for the time being shall so decide.

- (iii) Any dispute arising under this Rule may be referred for a decision to the Electoral Reform Society, 6 Chancel Street, London SE1, by any ten members who so agree and so inform the said Society in writing. Any costs of or fees due to the said Society shall be paid from the general funds of the Federation.

AGM

- 13 (i) There shall be held once every calendar year an Annual General Meeting:
 - (a) To receive and approve a statement of the Federation's accounts to the end of the preceding year.
 - (b) To elect officers as may be required by and in accordance with these Rules.
 - (c) To receive any proposals that may be put to the meeting in accordance with these Rules and to vote thereon.
 - (d) To change the Rules of the Federation.
 - (e) To transact any other business.
- (ii) Notice convening the Annual General Meeting shall be sent in the manner provided by Rule 12(i)(a) hereof to all members not less than 14 days before the day fixed for the meeting. The accidental omission to give notice of a meeting to, or the non-receipt of notice by, any person entitled to receive notice shall not invalidate the proceedings at that meeting. The attendance of six members shall be necessary to form a quorum.

EGM

- 14 An Extraordinary General Meeting may be convened in similar manner and subject to like provision as set out in Rule 13(ii) hereof at any time by the Council on 14 days prior notice to members. Such a meeting must be convened on receipt by the Chief Executive of a requisition to that effect signed by not less than ten members specifying the matters to be resolved, on 42 days prior notice; provided that such notice shall specify the matters to be resolved.

Voting

- 15 Voting at an Annual or Extraordinary General Meeting shall be by show of hands, account being taken of all postal votes where such are appropriate. The President shall appoint a teller to count the number of votes cast for and against a motion. Where the number of votes are equal, the motion shall be declared lost. A motion shall be carried by a simple majority, except in cases where these Rules otherwise provide.

Disciplinary

- 16 (i) The Council or such other disciplinary committee as it may appoint may fine, suspend, expel or report to the British Horseracing Authority, a member, if it is proved to be satisfaction of the Council or its disciplinary committee that he has been guilty of any of the following:
 - (a) Falsifying records of the Federation.

- (b) Wrongfully obtaining possession of, or refusing to surrender, any record or other property of the Federation.
 - (c) Making a fraudulent claim upon, or fraudulent obtaining any benefit from the Federation.
 - (d) Failing to comply with these Rules or with any direction made under their authority.
 - (e) Conduct which in the opinion of the Council or its disciplinary committee is or is likely in any way to be detrimental or prejudicial to the objects and/or interests of the Federation or to the interests and/or standing of trainers of racehorses generally.
 - (f) Any offence of happening or behaviour which in the opinion of the Council or its disciplinary committee is by virtue of its nature and extent such that the continued membership to the Federation of that member is undesirable and against the interests of other members and the Federation.
- (ii) No person whose membership has been suspended shall, during the period of suspension, attend any meetings of the Federation unless invited so to do by the Council. In no case shall he be permitted to vote during the period of suspension.
 - (iii) Pending the appeal of any member against his suspension, he shall have only those rights expressly conferred on him by the Council.
 - (iv) The Council or its disciplinary committee shall be entitled to cause a statement to be published to the media including the national or any other press concerning any disciplinary proceedings taken pursuant to this Rule.

Appeals

- 17 A member desiring to appeal against any decision of the Council or its disciplinary Committee affecting himself shall within ten days of such decision, obtain the signatures of not less than ten members and shall deposit with the Chief Executive a concise statement of the grounds of appeal supported by the said signatures. Appeals shall be heard within 42 days by an Appeals Committee consisting of six members of the Federation under the Chairmanship of a person to be nominated by the Stewards of the Jockey Club. The Chairman shall not be a member of the Federation. Six members of the Appeals Committee shall be appointed by the Council provided that at least two shall not be serving members on the Council. Any determination by the Appeals Committee shall be by simple majority.

Dispute

- 18 Any dispute between a member and the Federation or an officer of the Federation shall be referred to the Council.

Subscription

- 19 The annual subscription for membership shall be such amount as is for the time being decided by the Council and shall be paid on such date as may from time to time be fixed by the Council. In addition, the Council may from time to time levy from the members a special contribution for the objects of the Federation, the contribution to be of such amount and payable in such manner as may be directed by the Council.

Benefits available to Members

- 20 The following benefits as at the first Annual General Meeting of the Federation are available to members:
- (i) Each member shall be entitled to an annual badge which will admit him to every racecourse in the country.
 - (ii) Each member is in addition to 20(i) above entitled to apply for one non-transferable badge which also admits to every racecourse.
 - (iii) Each member shall be entitled to receive a newsletter at regular intervals during the year keeping him in touch with the activities of the Federation and its committees.
 - (iv) The Federation's accountant and legal advisor are both available to give advice on any particular problems which may arise subject to arrangements being made for the payment of charges. Application for this service may be made through the Chief Executive.
 - (v) Legal liability insurance, and any other insurance which in the opinion of the Council is desirable, may be made available on arrangement through the Federation subject to the payment of relevant charges. Details can be obtained from the Secretary who will also supply contracts of employment and various other forms which may from time to time, in the opinion of the Council, be appropriate.
 - (vi) A reduction on health insurance subscriptions is available to members.

AND any other matter of benefit of assistance which, in the opinion of the Council, can or should be made available to members.

Amendment of Rules

- 21 The Rules of the Federation may be amended or revoked and new Rules made at an Annual or Extraordinary General Meeting in the following manner:
- (i) The Council of its own accord may send notice to all members proposing a change in the Rules. Such notice to be sent in similar manner and subject to like provision as set out in Rule 13 (ii) hereof. The Council must send notice in similar manner to all members proposing a change in the Rules if so required by a written requisition to that effect signed by no less than ten members lodged by hand with the Secretary, provided that such requisition specifies the wording of any proposed new rule(s) and the extent that any existing rules are to be deleted,

and is lodged not less than 42 days before the date fixed for an Annual or Extraordinary General Meeting.

- (ii) Any notice sent to members under sub-clause 21 (i) of this Rule must specify the wording of any proposed new rule and the extent to which any existing Rules are to be deleted. It must also specify the date and time of the Annual or Extraordinary General Meeting at which the changes in the Rules are proposed to be passed and must be sent out before or at the same time and in similar manner as the notice convening such meeting.
- (iii) At the General Meeting, whether it be Annual or Extraordinary, a resolution that the Rules be changed must be proposed and seconded. A vote will then be taken including postal votes and if the resolution is passed by a majority of two thirds of the members voting then the Rules shall be deemed amended.

Reference to Statute

22 Where in these Rules reference is made to the Trade Union and Labour Relations Act 1974 such reference shall apply to an enactment amending or replacing the same.

Dissolution

- 23 (i) The Federation may be dissolved by resolution of not less than two thirds of the members attending an Extraordinary General Meeting called for the purpose by the Council, of its own motion, or at the written request of 100 members.
- (ii) In the event of dissolution, the Trustees shall realise all assets, and shall thereupon discharge all liabilities of the Federation. Any surplus shall be divided among the members of the Federation in proportion to the number of subscriptions paid at the date of dissolution.

Definitions

24 In these Rules the singular shall include the plural and the masculine shall include the feminine. Where reference is made to the "Rules of Racing" it shall mean the orders and rules of racing for the time being in force issued by the British Horseracing Authority.